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## **A Geometrically Non-linear Model of Ceramic Crystals with Defects Applied to Silicon Carbide (SiC)**

**by John D. Clayton**

**ARL-TR-5096**

**March 2010**

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**John D. Clayton  
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<b>14. ABSTRACT</b> A model is developed for anisotropic ceramic crystals undergoing potentially large deformations that can occur under significant pressures or high temperatures. The model is applied to enable an improved understanding of silicon carbide (SiC), with a focus on hexagonal polytype 6H of $\alpha$ -SiC. Incorporated in the model are the following features: non-linear anisotropic thermoelasticity, piezoelectricity and electrostriction, nucleation and glide of Shockley partial dislocations on the basal plane, dilatation from point defects and elastic fields of dislocation lines, and cleavage fracture. Physical properties are obtained from experimental data and calculations reported in the literature.				
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## 1. Introduction

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The crystal structure of silicon carbide (SiC) is dictated by its polytype. A SiC polytype is represented by the number of hexagonal planar SiC double layers in its unit cell, with an appended *C*, *H*, or *R* denoting a cubic, hexagonal, or rhombohedral structure. Over 200 structural polytypes of SiC single crystals are known to exist (Bernstein et al., 2005). Cubic polytypes are labeled  $\beta$ -SiC, while hexagonal and rhombohedral polytypes are labeled  $\alpha$ -SiC. The common cubic ( $\beta$ ) polytype is 3C-SiC, exhibiting the sphalerite or zinc blende crystal structure. Technologically relevant polytypes of hexagonal ( $\alpha$ ) SiC include 2H (wurtzite crystal structure), 4H, and 6H, while common rhombohedral polytypes include 9R, 12R, 15R, and 21R. Hexagonal polytype 6H-SiC is the focus of the present investigation; crystals of 6H-SiC are often referred to as moissanite. Polycrystalline structural ceramics, such as SiC-B and SiC-N are thought to consist primarily of 6H-SiC, though other polytypes may be present (Shih et al., 2000). Polytype 6H-SiC is non-centrosymmetric, piezoelectric, and spontaneously polar, i.e. pyroelectric (Qteish et al., 1993). Phase transformations at ambient temperatures are thought to occur at very high pressures on the order of 100 GPa (Vogler et al., 2006) though fractional increases of 6H-SiC grains relative to other polytypes have been observed to occur at somewhat lower compressive stresses (~19–32 GPa) in polycrystalline SiC subject to ballistic impact (Shih et al., 2000).

Defects can strongly affect mechanical, thermal, and electrical behaviors of ceramics, such as SiC. Basal slip of edge dislocations is thought to be the dominant mechanism of plastic deformation in hexagonal SiC polytypes, with dislocation mobility dependent on temperature and electric current (Galeckas et al., 2002). Shockley partial dislocations bordering intrinsic stacking faults are thought to be most relevant in hexagonal SiC (Samant et al., 1998; Blumeneau et al., 2003), with the mobility of leading partials exceeding that of trailing partials at low temperatures (Demenet et al., 2000) supporting a tendency for plasticity to be accompanied by generation of large numbers of stacking faults. Twinning, which is observed in  $\beta$ -SiC (Van Torne, 1966) does not appear to be an important deformation mechanism in hexagonal polytypes except under high temperatures and pressures wherein phase transformations occur. Point defects, such as Si and C vacancies (Shih et al., 2000; Bernstein et al., 2005) and interstitials, such as those generated by irradiation (Gao and Weber, 2005) may affect physical properties. Primary cleavage planes are of basal and prismatic orientations with single crystals of  $\alpha$ -SiC apparently less resistant, in some cases, to fracture during indentation experiments than their polycrystalline counterparts (Kitahara et al., 2001) suggesting that cleavage of single crystals, in addition to grain boundary fracture, is important in failure assessment of polycrystals.

This report addresses the behavior of single crystals of 6H-SiC. A model incorporating coupled non-linear thermo-electro-mechanical behavior is developed in section 2 for proper interpretation

of results of shock physics experiments on anisotropic piezoelectric single crystals (Graham, 1972; Clayton, 2010). The primary content of this report consists, in section 3, of a number of tables of structural, mechanical, thermal, and electrical properties—either obtained from the open literature or newly computed—of hexagonal polytypes of SiC single crystals. Compression data for polycrystalline SiC has been reviewed extensively elsewhere (Dandekar, 2002).

The notation of non-linear continuum mechanics is used. The index notation follows the Einstein summation convention. Current configuration indices are written in lower case Latin, reference configuration indices in upper case Latin, and intermediate configuration indices in Greek. Superposed  $-1$ ,  $T$ , and  $\bullet$  denote the inverse, transpose, and material time derivative, respectively. Subscripted commas and semicolons denote partial and covariant differentiation, respectively.

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## 2. General Model for Elastic Dielectrics with Defects

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The framework of section 2 combines treatments of crystal plasticity of ceramic single crystals (Clayton, 2009a) and dielectrics with point defects (Clayton, 2009b) and may be applied to describe any single crystalline dielectric or semiconducting solid containing dislocations, stacking faults, and point defects. Let  $x^a = x^a(X^A, t)$  denote spatial coordinates that depend on reference coordinates  $X^A$  of a material particle and time  $t$ . The deformation gradient in equations 1–3 is

$$F_{,A}^a = x_{,A}^a = F_{,\alpha}^{Ea} \bar{F}_{,A}^\alpha = F_{,\alpha}^{La} F_{,A}^{P\alpha}, \quad (1)$$

$$F_{,\alpha}^{La} = F_{,\alpha}^{Ea} \bar{J}^{1/3}, \quad (2)$$

$$\bar{F}_{,A}^\alpha = \bar{J}^{1/3} F_{,A}^{P\alpha}, \quad (3)$$

where

$F_{,\alpha}^{Ea}$  : reversible thermoelastic deformation

$F_{,A}^{P\alpha}$  : isochoric deformation from dislocation glide

$\bar{J}$  : volume changes due to defects (Eshelby, 1954; Clayton, 2009a)

$F_{,\alpha}^{La}$  : total lattice deformation

$\bar{F}_{,A}^\alpha$  : total residual deformation

In equation 4, spatial velocity gradient  $L_{,b}^a$  is

$$\begin{aligned} L_{,b}^a &= v_{,b}^a = \dot{F}_{,\alpha}^{Ea} F^{E-1\alpha}_{,b} + F_{,\alpha}^{Ea} \bar{L}_{,\beta}^{P\alpha} F^{E-1\beta}_{,b} + \frac{1}{3} \dot{\overline{J}}^{-1} \delta_{,b}^a \\ &= \dot{F}_{,\alpha}^{Ea} F^{E-1\alpha}_{,b} + F_{,\alpha}^{Ea} \left( \sum_i \dot{\gamma}^i \bar{s}^{i\alpha} \bar{m}_\beta^i \right) F^{E-1\alpha}_{,b} + \frac{1}{3} \dot{\overline{J}}^{-1} \delta_{,b}^a, \end{aligned} \quad (4)$$

where

$v^a = \dot{x}^a$  : spatial velocity or particle velocity

$\bar{L}_{,\beta}^{P\alpha}$  : plastic velocity gradient referred to the intermediate configuration

$\dot{\gamma}^i$  : slip rate defined for each slip system  $i$

$\bar{s}^{i\alpha}$  : unit slip direction defined for each slip system  $i$

$\bar{m}_\beta^i$  : unit slip plane normal defined for each slip system  $i$

$g_{ab} = \mathbf{g}_a \cdot \mathbf{g}_b$  : spatial metric tensor

The metric tensor in the intermediate configuration is assumed Cartesian for simplicity, i.e.,  $g_{\alpha\beta} = \delta_{\alpha\beta}$ .

In equations 5–8, Maxwell's equations in the quasi-electrostatic approximation (Maugin, 1988) for non-magnetic solids are written as

$$\varepsilon^{abc} \hat{e}_{c;b} = 0, \quad (5)$$

$$\frac{\partial \hat{d}^a}{\partial t} = -\hat{j}^a, \quad (6)$$

$$\hat{d}_{,a}^a = \hat{\rho}, \quad (7)$$

$$\hat{b}_{,a}^a = 0, \quad (8)$$

where

$\hat{e}_a = -\hat{\phi}_{,a} = -\hat{\phi}_{;a}$  : spatial electric field

$\hat{d}^a = \varepsilon_0 \hat{e}^a + \hat{p}^a$  : electric displacement

$\varepsilon_0$  : vacuum permittivity

$\hat{p}^a$  : electric polarization per unit spatial volume

$\hat{j}^a = \hat{i}^a - (1/\mu_0) \varepsilon^{abc} \hat{b}_{c;b}^a$  : effective electric (displacement) current density

$\hat{i}^a$  : free electric current (vanishes in a perfect dielectric)

$\mu_0$  : vacuum permeability

$\hat{\rho}$  : free charge density per unit spatial volume (vanishes in a perfect dielectric)

$\hat{b}^a$  : magnetic flux density

$\epsilon^{abc} = \left[ \sqrt{-g} \right] e^{abc}$  := alternator tensor or permutation tensor

Partial time differentiation at fixed  $x^a$  is written  $\partial/\partial t$ . In equation 9, the total stress tensor is

$$T^{ab} = \sigma^{ab} + \hat{\tau}^{ab} = \sigma^{ab} + \hat{e}^a \hat{p}^b + \epsilon_0 \hat{e}^a \hat{e}^b - \frac{\epsilon_0}{2} \hat{e}^c \hat{e}_c g^{ab}, \quad (9)$$

where

$\sigma^{ab}$  : mechanical Cauchy stress tensor

$\hat{\tau}^{ab}$  : quasi-electrostatic Maxwell stress tensor

In equations 10–12, momentum balances and boundary conditions are

$$T_{;b}^{ab} + \bar{b}^a = \rho \dot{v}^a, \quad (10)$$

$$T^{ab} = T^{ba}, \quad (11)$$

$$\llbracket T^{ab} \rrbracket n_b = T^{+ab} n_b - T^{-ab} n_b = 0, \quad (12)$$

where

$\bar{b}^a$  : body force per unit spatial volume

$\rho$  : spatial mass density

$n_b$  : unit normal pointing from inside (–) to outside (+) of oriented surface element

In equations 13–14, the local balance of energy and entropy inequality are, respectively,

$$\rho \dot{e} = \sigma^{ab} L_{ab} + \hat{e}_a \dot{\hat{p}}^a - q_a^a + \rho r, \quad (13)$$

$$\sigma^{ab} L_{ab} + \hat{e}_a \dot{\hat{p}}^a - \rho \dot{\psi} - \rho \dot{\theta} \eta - \frac{1}{\theta} \theta_{;a} q^a \geq 0, \quad (14)$$

where

$e$  : internal energy per unit mass

$q^a$  : heat flux (i.e., conduction)

$r$  : heat source per unit mass (i.e., radiation)

$\psi = e - \theta\eta$  : Helmholtz free energy per unit mass

$\theta$  : absolute temperature

$\eta$  : entropy per unit mass

In equation 15, the free energy per unit mass is of the functional form

$$\psi = \psi(E_{\alpha\beta}^E, \bar{p}_\alpha, \theta, \xi, \varsigma, \vartheta), \quad (15)$$

where, in equations 16–17, the elastic strain tensor and intermediate polarization vector are, respectively,

$$E_{\alpha\beta}^E = \frac{1}{2}(C_{\alpha\beta}^E - \delta_{\alpha\beta}) = \frac{1}{2}(F_{.\alpha}^{Ea} g_{ab} F_{.\beta}^{Eb} - \delta_{\alpha\beta}), \quad (16)$$

$$\bar{p}_\alpha = F_{.\alpha}^{Ea} \hat{p}_a. \quad (17)$$

Also,  $\xi$ ,  $\varsigma$ , and  $\vartheta$  are the scalar dislocation length per unit volume, stacking fault area per unit volume, and point defect concentration, respectively. In equations 18–21, constitutive relationships for stress, electric field, entropy, and heat flux consistent with equations 13 and 14 are

$$\bar{\Sigma}^{\alpha\beta} = J^E F_{.a}^{E-1\alpha} \sigma^{ab} F_{.b}^{E-1\beta} = \bar{\rho} \frac{\partial \psi}{\partial E_{\alpha\beta}^E} + J^E C_{.\alpha}^{E-1\alpha\chi} \bar{p}_\chi C_{.\beta}^{E-1\beta\delta} \bar{e}_\delta, \quad (18)$$

$$\hat{e}^a = F_{.\alpha}^{Ea} \rho \frac{\partial \psi}{\partial \bar{p}_\alpha} = \rho \frac{\partial \psi}{\partial \hat{p}_a}, \quad (19)$$

$$\eta = -\frac{\partial \psi}{\partial \theta}, \quad (20)$$

$$\bar{q}^\alpha = -K^{\alpha\beta} \theta_{;\beta}, \quad (21)$$

where

$$\bar{\rho} = \rho J^E = (\rho/6) \varepsilon_{abc} \varepsilon^{\alpha\beta\gamma} F_{.\alpha}^{Ea} F_{.\beta}^{Eb} F_{.\gamma}^{Ec} : \text{intermediate mass density}$$

$$\bar{e}_\delta = F_{.\delta}^{Ea} \hat{e}_a = -F_{.\delta}^{Ea} \hat{\phi}_{;a} : \text{intermediate electric field}$$

$$\bar{q}^\alpha = J^E F_{.a}^{E-1\alpha} q^a : \text{intermediate heat flux}$$

$$\theta_{,\beta} = \theta_{;a} F_{.\beta}^{Ea} : \text{anholonomic temperature gradient}$$

$$K^{\alpha\beta} : \text{thermal conductivity}$$

### 3. 6H-SiC: Structure and Physical Properties

In equation 22, the Helmholtz free energy is written as follows on a per unit reference volume basis, where  $\theta_0$  is a reference temperature:

$$\begin{aligned}\rho_0\psi = & \frac{1}{2}C^{\alpha\beta\chi\delta}E_{\alpha\beta}^E E_{\chi\delta}^E + \frac{1}{6}C^{\alpha\beta\chi\delta\varepsilon\phi}E_{\alpha\beta}^E E_{\chi\delta}^E E_{\varepsilon\phi}^E \\ & + \frac{1}{2}\Lambda^{\alpha\beta}\bar{p}_\alpha\bar{p}_\beta + \Delta^{\alpha\beta\chi}\bar{p}_\alpha E_{\beta\chi}^E + \frac{1}{2}\Upsilon^{\alpha\beta\chi\delta}\bar{p}_\alpha\bar{p}_\beta E_{\chi\delta}^E \\ & - \beta^{\alpha\beta}E_{\alpha\beta}^E(\theta - \theta_0) - \rho_0 c_V \theta \log \frac{\theta}{\theta_0} + \psi^R(\xi, \varsigma, \vartheta),\end{aligned}\quad (22)$$

where

$$\rho_0 = \rho J = \rho J^E \bar{J} = (\rho/6)\varepsilon_{abc}\varepsilon^{ABC}F_A^a F_B^b F_C^c : \text{reference mass density}$$

Equations 23–31 apply for isothermal permittivity (i.e., dimensionless dielectric constants)  $\varepsilon_{\alpha\beta}$ , standard piezoelectric constants  $e_\alpha^{\beta\chi}$ , pressure derivatives of dielectric constants at the reference state  $\partial\varepsilon^{\alpha\beta}/\partial p$ , second-order elastic constants at fixed electric field  $C_{\bar{e}}^{\alpha\beta\chi\delta}$ , thermal expansion coefficients at constant polarization  $\alpha_{\alpha\beta}$ , isobaric specific heat per unit mass  $c_p$ , Gruneisen numbers  $\Gamma^{\alpha\beta}$  and  $\Gamma$ , and isothermal bulk modulus  $B$  (Polian et al., 1982):

$$\varepsilon_{\alpha\beta} = \delta_{\alpha\beta} + \varepsilon_0^{-1}\Lambda_{\alpha\beta}^{-1}, \quad (23)$$

$$e_\alpha^{\beta\chi} = -\Lambda_{\alpha\delta}^{-1}\Delta^{\delta\beta\chi}, \quad (24)$$

$$\frac{\partial\varepsilon^{\alpha\beta}}{\partial p} = \frac{4}{3B\varepsilon_0}(Y_{\dots\chi\delta\varepsilon}^{\chi\delta\varepsilon}\Lambda_{\dots\chi}^{-1\alpha}\Lambda_{\dots\delta}^{-1\beta} - \varepsilon_0\delta^{\alpha\beta} + \Lambda^{-1\alpha\beta}), \quad (25)$$

$$C_{\bar{e}}^{\alpha\beta\chi\delta} = C^{\alpha\beta\chi\delta} - \Delta^{\alpha\beta}\Lambda_{\varepsilon\phi}^{-1}\Delta^{\phi\chi\delta}, \quad (26)$$

$$\beta^{\alpha\beta} = C^{\alpha\beta\chi\delta}\alpha_{\chi\delta}, \quad (27)$$

$$c_p = c_V + (\alpha_{\beta}^{\beta})^2 \frac{B\theta}{\rho_0}, \quad (28)$$

$$\Gamma^{\alpha\beta} = \frac{\beta^{\alpha\beta}}{\rho_0 c_V}, \quad (29)$$

$$\Gamma = \frac{\alpha_{\beta}^{\beta} B}{\rho_0 c_V}, \quad (30)$$

$$B = \frac{C_{33}(C_{11} + C_{12}) - 2(C_{13})^2}{C_{11} + C_{12} - 4C_{13} + 2C_{33}}. \quad (31)$$

Only two of the six possible coefficients of  $\gamma^{\alpha\beta\chi\delta}$  are known from equation 25; it is assumed here for simplicity that  $\gamma^{\alpha\beta\chi\delta} = \gamma^{\alpha\beta}\delta^{\chi\delta}$ . Pyroelectricity is omitted in equation 22. Differences between isothermal and isentropic elastic coefficients are negligible in SiC near room temperature.

In equations 32–34, relevant dislocations in  $\alpha$ -SiC include partial dislocations on the basal plane formed from the dissociation reaction  $\text{1/}_3[\bar{1}210] \rightarrow \text{1/}_3[1\bar{1}00] + \text{1/}_3[0\bar{1}10]$  (Maeda et al., 1988; Samant et al., 1998; Demenet et al., 2000; Blumeneau et al., 2003). Dislocation kinetics are dictated by (Demenet et al., 2000):

$$\dot{\gamma}^i = A \left| \frac{\bar{\tau}^i}{\tau_c^i} \right|^{1/m} \exp \left( \frac{-Q}{k_B \theta} \right) \operatorname{sgn} \left( \frac{\bar{\tau}^i}{\tau_c^i} \right), \quad (32)$$

$$\bar{\tau}^i = J^E \sigma^{ab} s_a^i m_b^i = C_{\alpha\chi}^E \bar{\Sigma}^{\chi\beta} \bar{s}^{i\alpha} \bar{m}_{\beta}^i, \quad (33)$$

$$m = \frac{\partial \log \bar{\tau}^i}{\partial \log \dot{\gamma}^i}, \quad (34)$$

where

$A$  : a proportionality constant with dimensions of strain rate

$Q$  : activation energy that may depend on electric current (Galeckas et al., 2002)

$m$  : strain rate sensitivity

$\tau_c^i$  : intrinsic slip resistance or shear strength

In equation 35, residual elastic volume changes from screw dislocations are estimated as

$$\Delta V/V = \bar{J} - 1 \approx \frac{1}{\mu} \left( \frac{\partial \mu}{\partial p} - \frac{\mu}{B} \right) E_E \xi, \quad (35)$$

where

$\mu$  : effective elastic shear modulus

$E_E$  : elastic energy per unit dislocation line length

Analogous formulae for edge dislocations and point defects are available in the literature (Eshelby, 1954; Holder and Granato, 1969; Clayton, 2009a; Clayton, 2010).

Table 1 lists parameters of the 6H-SiC crystal structure. The stacking sequence of Si or C layers in the [0001] direction (i.e., along the c-axis) is ABCACBABCACB..., meaning six hexagonal

planar layers each of Si and C comprise a single conventional unit cell. Tables 2 and 3 list fundamental and derived thermal, mechanical, and electrical properties of  $\alpha$ -SiC. Material property tensors are expressed using Voigt's condensed notation. Tables 4–7 list properties and supporting references regarding defects and fracture. Predictions for volume changes associated with defects (see equation 35 and other equations in [Clayton, 2010]) are shown in figure 1.

Table 1. Structural features of 6H-SiC (room temperature and atmospheric pressure).

Parameter	Value	Remarks	Reference
a	0.308 nm	Lattice parameter ( $x^1$ -axis)	Stockmeier et al. (2009)
c	1.512 nm	Lattice parameter ( $x^3$ -axis)	
$\Omega_0$	0.0103 nm <sup>3</sup>	Atomic volume $\Omega_0 = \sqrt{3}a^2c/24$	
Point group	6mm	Dihexagonal pyramidal (HI)	Arlt and Schodder (1965)
Space group	C <sub>6v</sub> <sup>4</sup> (C6mc)		Wyckoff (1963)

Table 2. Thermophysical properties (room temperature and atmospheric pressure).

Parameter	Value	Remarks	Reference
$\rho_0$	3210 kg/m <sup>3</sup>	Mass density	Slack (1964)
$c_p$	652 J/kgK	Specific heat at constant pressure	Muller et al. (1998)
$\alpha_{11}$	$3.4 \times 10^{-6}$ /K	Thermal expansion coefficients	Li and Bradt (1987)
$\alpha_{33}$	$3.2 \times 10^{-6}$ /K		
$\beta_{11}$	2.3 MPa/K	Thermal stress coefficients	equation 27
$\beta_{33}$	2.1 MPa/K		
$\Gamma_{11}$	1.10	Gruneisen coefficients	equation 29
$\Gamma_{33}$	1.00		
$\Gamma$	1.06	Gruneisen parameter	equation 30
$K_{11}$	390 W/mK	Thermal conductivity	Burgemeister et al. (1979)
$K_{33}$	370 W/mK		
$\Theta_D$	1200 K	Debye temperature	Slack (1964)
$\Theta_M$	3375 K	Melting temperature	Merala et al. (1988)

Table 3. Elastic, piezoelectric, and dielectric properties (room temperature and atmospheric pressure).

Parameter	Value	Remarks	Reference
$C_{11}$	501 GPa	Second-order elastic constants; Brillouin scattering; $6H$ -SiC	Kamitani et al. (1997)
$C_{12}$	112 GPa		
$C_{13}$	52 GPa		
$C_{33}$	549 GPa		
$C_{44}$	161 GPa		
$\partial C_{11}/\partial p$	3.8	Pressure derivatives of second-order elastic coefficients; atomic model; $2H$ -SiC	Davydov (2004)
$\partial C_{12}/\partial p$	4.0		
$\partial C_{13}/\partial p$	4.0		
$\partial C_{33}/\partial p$	3.8		
$\partial C_{44}/\partial p$	-0.2		
$\mu$	194 GPa	Isotropic elastic constants; Voigt averages; $6H$ -SiC	Blumeneau et al. (2003)
$\nu$	0.161		
$B$	222 GPa		equation 31
$B$	230 GPa	Static compression; polycrystal	Bassett et al. (1993)
$\partial B/\partial p = B'$	4.0	Static compression; polycrystal	Bassett et al. (1993)
	3.1±0.3	Shock compression; polycrystal	Sekine and Kobayashi (1997)
	3.9	Isotropic elasticity, $\partial C_{\alpha\beta}/\partial p$	
	2.5	$\partial B/\partial p = 2\Gamma + 1/3$	Slater (1939)
$\partial \mu/\partial p = \mu'$	3.4	Isotropic elasticity at fixed $\nu$	
$e_{15}$	-0.198 C/m <sup>2</sup>	Piezoelectric constants; atomic model; $6H$ -SiC	Mirgorodsky et al. (1995)
$e_{31}$	-0.200 C/m <sup>2</sup>		
$e_{33}$	0.398 C/m <sup>2</sup>		
$\epsilon_{11}$	9.66	Static dielectric permittivity; experiment; $6H$ -SiC	Patrick and Choyke (1970)
$\epsilon_{33}$	10.03		
$\partial \epsilon_{11}/\partial p$	-0.0218 /GPa	Pressure derivatives of permittivity; DFT calculation; $4H$ -SiC	Karch et al. (1996)
$\partial \epsilon_{33}/\partial p$	-0.0232 /GPa		
$A_{11}$	13.0 m/nF	Inverse dielectric susceptibilities	equation 23
$A_{33}$	12.5 m/nF		
$A_{15}$	$2.58 \times 10^9$ C/(Fm)	Stress-polarization coefficients	equation 24
$A_{31}$	$2.50 \times 10^9$ C/(Fm)		
$A_{33}$	$-4.98 \times 10^9$ C/(Fm)		
$\gamma_{11}$	-5.67 m/nF	Piezo-optic coefficients (electrostriction)	equation 25
$\gamma_{33}$	-5.49 m/nF		

Table 4. Slip systems and Burgers vectors.

Type	Direction	Plane	Burgers <b>b</b>	$ b $	Planar spacing $d$
Basal, full	$<1\bar{2}10>$	(0001)	$1/3[1\bar{2}10]$	0.308 nm	0.252 nm (c/6)
Basal, partial	$<1\bar{1}00>$	(0001)	$1/3[1\bar{1}00]$	0.178 nm	0.252 nm (c/6)
Prism, full	$<1\bar{2}10>$	$\{10\bar{1}0\}$	$1/3[1\bar{2}10]$	0.308 nm	$0.267 \text{ nm } (a\sqrt{3}/2)$

Table 5. Fracture properties.

Parameter	Value	Plane	Remarks	Reference
$\gamma_F$	$8.6 \pm 0.4 \text{ J/m}^2$	(0001)	Cohesive energy; indentation experiment	Kitahara et al. (2001)
	$5.6 \pm 0.5 \text{ J/m}^2$	$\{10\bar{1}0\}$		
	$4.0 \pm 0.2 \text{ J/m}^2$	$\{\bar{1}2\bar{1}0\}$		
$\tau_F$	37.7 GPa	(0001)	Theoretical strength	Frenkel (1926)
	35.6 GPa	$\{10\bar{1}0\}$	$\tau_F = \frac{\mu b}{2\pi d}$	

Table 6. Dislocation properties (unless noted otherwise: basal dislocations, ~room temperature).

Parameter	Value	Defect type	Remarks	Reference
$E_E$	21.1 nJ/m	Full 60°	Elastic energy; isotropic	Blumeneau et al. (2003)
	6.1 nJ/m	Partial 30°	Elastic energy; anisotropic	
	7.2 nJ/m	Partial 90°	Elastic energy; anisotropic	
	18.5 nJ/m	Edge loop	Elastic energy; isotropic	
$E_C$	0.72 nJ/m	Partial 30° (Si)	Core energy; DFT; 2H-SiC	Blumeneau et al. (2003)
	0.74 nJ/m	Partial 90° (Si)	Core energy; DFT; 2H-SiC	
	1.25 nJ/m	Partial 30° (C)	Core energy; DFT; 2H-SiC	
	1.81 nJ/m	Partial 90° (C)	Core energy; DFT; 2H-SiC	
$W_{SF}$	$2.5 \pm 0.9 \text{ mJ/m}^2$	(0001) plane	Stacking fault energy; ~1800K	Maeda et al. (1988)
$\tau_C$	4.5 GPa	Unknown	Shock; polycrystal @ HEL	Feng et al. (1998)
	$3.3 \pm 1.0 \text{ GPa}$	Unknown	Shock; polycrystal above HEL	Vogler et al. (2006)
	6.8 GPa	Unknown	Static compression; polycrystal	Zhang et al. (2002)
	$4.5 \pm 0.4 \text{ GPa}$	Unknown	Indentation; single crystal	Shim et al. (2008)
	0.45 GPa	Partial basal	Static compression; 823 K	Samant et al. (1998)
	4.3 GPa	Full basal	Numerical; single crystal	Zhang et al. (2005)
	7.5 GPa	Full prism	Numerical; single crystal	Zhang et al. (2005)
	0.014 GPa	Partial basal	Theory; $\tau_C = W_{SF}/b$	Maeda et al. (1988)

Table 6. Dislocation properties (unless noted otherwise: basal dislocations, ~room temperature). (continued)

Parameter	Value	Defect type	Remarks	Reference
$\tau_p$	1.24 GPa	Full basal 60°	Theory; Peierls-Nabarro stress $\tau_p = 2\hat{K} \exp\left(\frac{-2\pi\hat{K}d}{\mu b}\right); \frac{\hat{K}}{\mu} \sim 1$	Nabarro (1947)
	0.060 GPa	Partial 30°		
	0.014 GPa	Partial 90°		
	0.70 GPa	Full prism edge		
$m$	3.0±0.7	Partial basal	Rate sensitivity of yield stress	Samant et al. (1998)
$Q$	2.5 eV	Partial basal	Activation energy; null bias	Samant et al. (1998)
	0.27 eV	Partial basal	Activation energy; $\hat{j}=0.5\text{A/cm}^2$	Galeckas et al. (2002)

Table 7. Point defect properties.

Parameter	Value	Type	Remarks	Reference
$E_F$	3.4 eV	C	Vacancy formation energy	Bernstein et al. (2005)
	5.3 eV	Si		
$\delta\Omega$	-0.0066 nm <sup>3</sup>	C	Relaxation volume (infinite medium)	Eshelby (1954)
	-0.0082 nm <sup>3</sup>	Si	$\delta\Omega = -[3\Omega_0 E_F / (2\mu)]^{1/2}$	

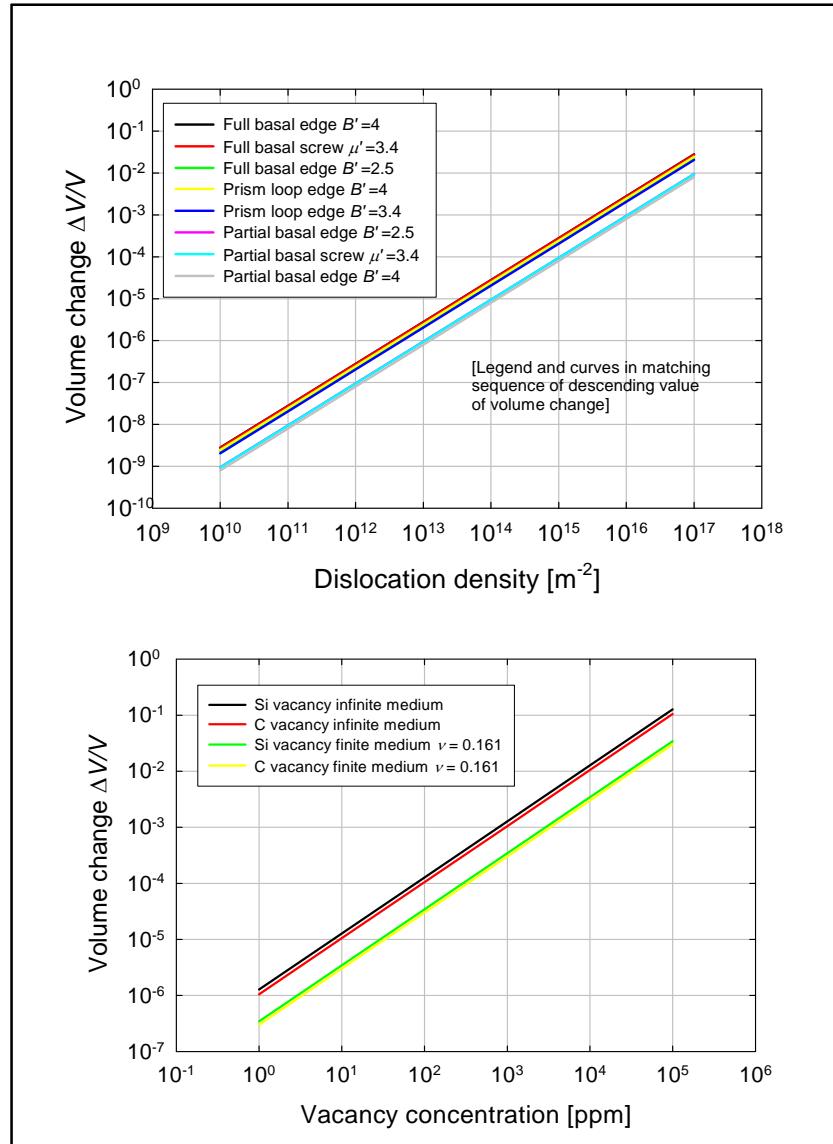


Figure 1. Predicted volume changes from dislocations and vacancies in  $\alpha$ -SiC.

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#### **4. Conclusions**

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A non-linear continuum theory has been developed for modeling the coupled electromechanical behavior of anisotropic elastic-plastic ceramic single crystals with defects. Governing equations and thermodynamic definitions of physical properties have been derived and concisely presented. Comprehensive tables of compiled (from values in the literature) and computed physical properties of 6H-SiC have been presented to serve as reference material to support future meso-scale modeling efforts of ceramic material systems.

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